

# Role of Gut-Brain Axis In Depression: A Short Review

Ms. Safiya Abdul Inamdar<sup>1</sup>, Ms. Pratiksha Rajkumar Ravankole<sup>2</sup>, Mr. Irfan Mustafa Pathan<sup>3</sup>

<sup>1</sup>Dept of Pharmacy

<sup>2</sup>Assistant professor, Dept of Pharmaceutical Chemistry

<sup>1, 2, 3</sup> Koregaon Bhima, Pune, Maharashtra, India

**Abstract-** Major depression disrupts the brain-gut axis and affects the immunological, digestive, endocrine, and brain systems, making it a major cause of sickness and disability globally. Many people go untreated despite the fact that traditional treatments concentrate on the brain. Research points to a connection between gut bacteria and depression, and correcting imbalances in the gut may help. Since depression is largely influenced by the brain-gut axis, altering the gut microbiota has drawn interest as a potentially effective treatment for mental illness. The relationship between the stomach, brain, and microbiota is important for mental health, according to recent research in nutritional and microbiological psychiatry.

**Keywords-** Gut-Brain Axis, Neuroinflammation, Vagus nerve, Microbiota, Depression.

## I. INTRODUCTION

Over millions of people worldwide suffer from depression, a complex and multifaceted mental health illness that significantly burdens individuals, families, and societies. The pathophysiology of depression has become better known, however the etiology of depression is still not fully understood[2]. The recognition of the complex link between the gastrointestinal (GI) tract and the central nervous system (CNS), known as the gut-brain axis (GBA), has undergone a paradigm change in recent years. The enteric nervous system (ENS), central nervous system (CNS), and the trillions of microorganisms that live in the gut collectively referred to as the gut microbiome all communicate with each other through this two-way network[23].

A increasing amount of research suggests that disturbance of the gut-brain axis may contribute to the genesis of depression. This axis is crucial for regulating mood, thinking, and behavior. Changes in gut permeability, gut microbiota composition, and inflammatory responses have all been connected to depression. Additionally, gut-derived hormones, metabolites, and neurotransmitters affect mood regulation; modifications to these signaling pathways. Major depressive disorder (MDD) is a common but deadly mental condition that manifests as suicidal thoughts, anhedonia, decreased appetite, fatigue, anxiety, irritability, insomnia, and

poor mood. MDDs have been linked to symptoms of depression and are estimated to impact 280 million people globally[7]. Serotonin (5-HT), which is regulated by the gut microbiota, has been shown to be essential for the development and treatment of depression[17].

It is crucial to remember that the main goal of this field of study is to discover more potent therapies and preventative strategies for major depressive disorder (MDD) by comprehending the gut-brain axis and the part that gut microbiota plays in the onset and management of depression. This is significant since it highlights the goals of the evaluation even more. More effective therapies for depression are desperately needed, as evidenced by the disorder's high incidence, social stigma, lack of effective treatments, and inadequate mental health resources[19].

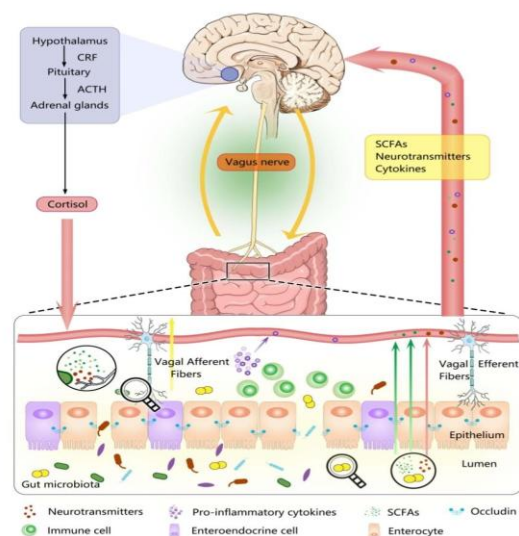


Fig 1: Gut-brain microbiome axis in depression.

**Major Depressive Disorder:** Major Depressive Disorder (MDD) is a severe mental illness that has a big impact on behaviour, mood, and day-to-day functioning. Along with a variety of accompanying symptoms like exhaustion, difficulties concentrating, changes in eating and sleep patterns, lack of interest in once-enjoyed hobbies, and occasionally suicidal or death-related thoughts, it is typified by a persistent sense of melancholy or hopelessness[32]. Although the precise cause of MDD is unknown, it is believed to be caused by a confluence of environmental factors (such as

chronic stress or a lack of social support), psychological factors (such as negative thought patterns and past trauma), and biological factors (such as neurotransmitter imbalances and genetic predisposition)[30].

Psychotherapy, drugs (such as antidepressants), and lifestyle modifications including stress reduction, exercise, and better sleep are usually used in combination for treatment. With the right care, many people can recover, but for some, MDD can be chronic or recurring, thus continuing care is crucial to controlling symptoms and avoiding relapses. It is crucial that a crisis service or healthcare professional intervene right away if someone has suicidal or self-harming thoughts[20].

Abnormal hypothalamic-pituitary-adrenal (HPA) axis function is linked to depression. The pathophysiology of depressive disorder may also be significantly influenced by structural alterations in the basal limbic system. Histopathological and biochemical results both support this theory. Due to the heterogeneity of depressive syndromes and their presumably complex genesis, it is difficult to comprehend the pathophysiology of depression[16]. As of right now, a number of the disease's mechanisms point to a reciprocal relationship between the gut microbiota and the central nervous system, which includes depression. Stress, alterations in the release of neurotransmitters and other signaling molecules in the gut, and immunological response dysregulation all influence how depression affects the gut flora[11].

**Gut Microbiota:** One important modulator of the gut-brain axis is the gut microbiota[29]. A complex and incredibly varied community of trillions of microorganisms, known as the gut microbiota, inhabits the digestive tracts of both humans and animals, including insects. Compared to human body's somatic and germ line cells, microbiota are ten times more prevalent[9]. The first line of defense for the gastrointestinal (GI) apparatus, the human gut microbiota is made up of a variety of microorganisms weighing around 1 kg, including bacteria, viruses, eukarya, archaea, and parasites. Firmicutes, Bacteroidetes, Actinobacteria, Fusobacteria, Proteobacteria, Verrucomicrobia, and Cyanobacteria are the seven main divisions of bacteria that thrive in the gut milieu[16].

Bacteria, viruses, archaea, and fungus make up the complex microbiota ecology in the human intestines, which starts to colonize as soon as the baby is born. According to some research, because the fetus and placenta are not sterile, colonization starts during the intrauterine phase. The way of delivery, gender, age, diet, stress, and medications can all have

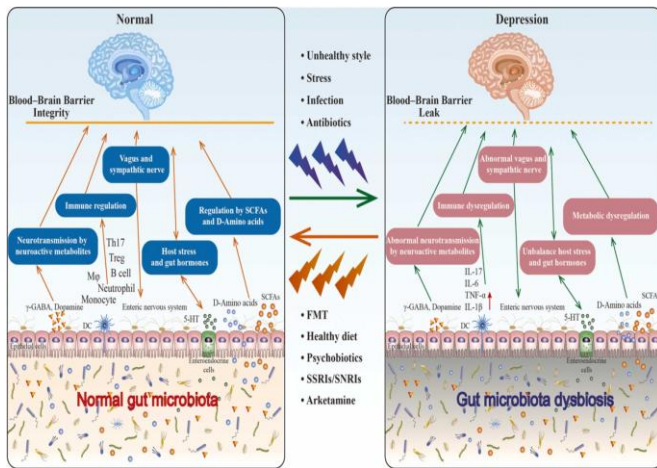
a big impact on this intricate structure, which is very dynamic[10].

Two prominent phylotypes, Bacteroidetes and Firmicutes, dominate the bacterial gut microbiome, with comparatively low abundances of Proteobacteria, Actinobacteria, Fusobacteria, and Verrucomicrobia phyla. It is clear that there are more microbial cells than human cells, even though the ratio of microbial to human cells has lately been reduced downward. These gut bacteria weigh between 1 to 2 kg in total, which is comparable to the weight of the human. Mammals have always existed with bacteria, unless in a lab setting, and microbiota and their host organisms have coevolved and are mutually dependent for survival[29].

The gut microbiota has unquestionably become a hotspot in recent years, whether in scientific or clinical research pertaining to illnesses. Functional research indicates that the gut microbiota has a role in nutrition absorption and digestion as a component of the host. Notably, intestinal microorganisms' production or metabolism of short-chain fatty acids (SCFA) during the breakdown of dietary fiber can serve as a substantial source of energy for the gut's microbes and intestinal mucosa, regulate immune responses, and even have a direct correlation with the occurrence, growth, and metastasis of intestinal tumors[28].

As research has gradually advanced, scientists have looked beyond digestive tract disorders and discovered an unbreakable connection between the gut microbiota and the brain. Examples of this include neuropsychiatric conditions like depression and autism spectrum disorders, as well as neurodegenerative illnesses like Parkinson's disease, Alzheimer's disease, and amyotrophic lateral sclerosis (ALS), Depression[28].

**Gut Microbiota in Depression:** Depressed patients had lower abundances of important bacterial groups like Lachnospiraceae and Ruminococcaceae as well as decreased gut microbial diversity. Less common are genera such as Bifidobacterium, Ruminococcus, Lactobacillus, and Faecalibacterium. A connection between gut bacteria and depression is shown by studies conducted in both human and animal models, which reveal changed microbiota profiles, including a decrease in Firmicutes and an increase in Bacteroidetes. These results provide credence to the notion that gut microbiota may affect behavior and mental health[19].



**Fig 2:** Given that stress, infections, and bad lifestyle choices can alter gut microbiota (dysbiosis), the brain-gut-microbiota axis is crucial in depression. By influencing the stomach and brain through chemical, immunological, and neurological signals, this dysbiosis might exacerbate symptoms of depression. This axis has been demonstrated to restore gut health, normalise brain function, and treat depression by interventions such as faecal microbiota transplantation (FMT), nutrition, psychobiotics, and antidepressants (e.g., SSRIs, SNRIs, ketamine). Short-chain fatty acids (SCFAs), serotonin (5-HT), cytokines (IL-6, IL-17, IL-1 $\beta$ , and TNF- $\alpha$ ), and central nervous system (CNS) signalling are important factors.

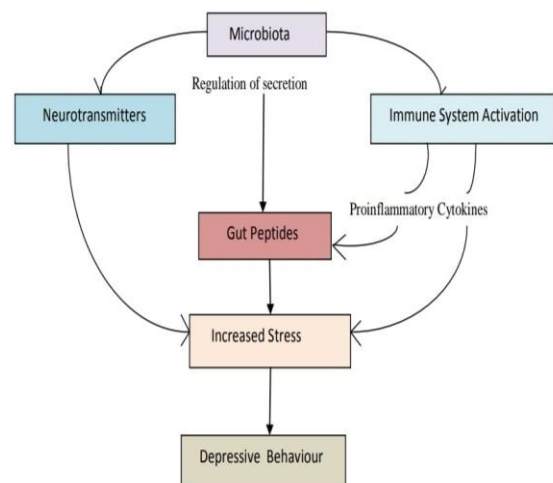
Dietary factors such as excessive sugar and low fiber intake, antibiotic use, stress, and early life adversity are among the factors that disturb the balance of gut microbiota in depression. Increased synthesis of pro-inflammatory cytokines, oxidative stress, and altered cortisol regulation can result from these disturbances. On the other hand, a healthy gut microbiota supports mood regulation by producing neuroactive substances like short-chain fatty acids and neurotransmitter precursors[17].

Through blood circulation and a cytokine cascade, the bacteria and their byproducts may cause inflammation in the brain, which in turn may alter a number of brain functions that affect behavior and mood. Additionally, inflammation brought on by the gut microbiota and its effects on tryptophan metabolism moving toward kynurenine production would interfere with serotonergic signaling, leading to mental health issues like depression symptoms[19].

Interesting results have been found in studies on how antidepressants affect gut flora, particularly since many of these medications also have antibacterial qualities. For instance, the anti-tuberculosis medication iproniazid functions as a monoamine oxidase inhibitor, whereas SSRIs and tricyclic antidepressants have antibacterial and anti-plasmid properties, respectively. Ketamine exhibits antimicrobial

properties as well. Antidepressants may reduce depression by modifying the immune system and gut microbiome in addition to changing neurotransmitter levels, according to these results[10].

**How are the Brain and Gut Communicating? :** Recent studies demonstrate how the microbiota affects the central nervous system and promotes a reciprocal relationship between the gut and the brain. This interaction involves gastrointestinal peptides and the HPA axis and takes place via sensory-neural, neuroendocrine, and neuroimmune pathways. The relationship between the gut microbiota and the brain affects neuropsychiatric conditions like schizophrenia and depression as well as stress, anxiety, and cognition. Since research has shown that depressed people have altered gut microbiota and that depressive behaviours may be transferred to animals by faecal transplants, it is unclear if depression causes gut dysbiosis or if microbiota changes cause depression[16].



**Fig 3:** Potential mechanisms of communication between the brain and the gut microbiome during depression.

**Mechanisms of communication from gut microbiota to brain:** As the microbial composition of the gut is so complex, the role of microbiota in gut-brain signalling remains unclear. However, metagenomics advancements are assisting in resolving this. Germ-free (GF) mice have been found to have different metabolic profiles, which may indicate that microbiome affects brain function. A complex network comprising the enteric nervous system (ENS), autonomic nervous system (ANS), neuroendocrine, and neuroimmune systems connects the gut and brain. The brainstem receives gut signals from afferent sensory neurones, and then uses them to activate higher brain areas including the limbic system and hypothalamus. This reciprocal exchange, which is mediated by

humoral and cerebral pathways, affects gut and brain functioning[29,28,19].

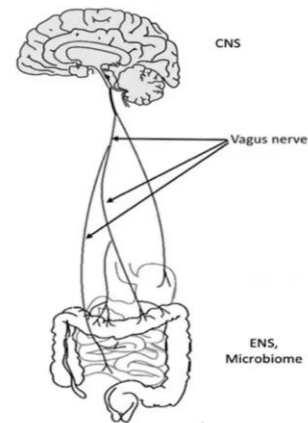
**Neuroinflammation in Depression:** Recent developments in neuroscience have connected chemokines to neurobiological mechanisms in mental illnesses, such as neurogenesis, plasticity, and synaptic transmission. According to research, stress and major depressive disorder (MDD) raise immune system activity, which results in cytokine production and neuroinflammation that impairs emotions and brain function[20]. Cytokines have been shown to affect brain signals related to MDD and antidepressant processes. Combining antidepressants with anti-inflammatory medications, such as celecoxib and NSAIDs, has been proven to improve depressive symptoms in clinical trials. In animal studies, new therapies that target microglial activation, including paricalcitol, have also showed promise in reducing depressive-like behavior[7]. The HPA axis and brain permeability can both be impacted by neuroinflammation, which makes it possible for cytokines to pass through the blood-brain barrier. In MDD patients, elevated levels of proinflammatory cytokines (TNF- $\alpha$ , IL-6) indicate neuronal injury and microglial activation. Research also shows that gender disparities and early life stress play a part in mood disorders linked to inflammation. With continued study into individualised strategies based on comorbidities and inflammation levels, anti-inflammatory medications are gaining popularity as a treatment for MDD[11].

**Vagus Nerve:** The longest cranial nerve in the body, the vagus nerve is an essential component of the parasympathetic nervous system. It is essential for controlling a number of body processes, such as digestion, immunological response, and heart rate. About 80% of the vagus nerve's fibres are sensory, making it a mixed nerve that contains both motor (efferent) and sensory (afferent) fibres[7]. The vagus nerve is crucial for sending signals from the gut to the brain and connects the brain to the heart, lungs, and digestive system, among other organs. The gut-brain axis, which enables the brain to perceive and react to signals from the gut, including those pertaining to digestion, gut bacteria, and their metabolites, is facilitated by this important pathway[17].

Well-being, emotional control, and relaxation are all linked to vagus nerve activity. The potential therapeutic effects of vagus nerve stimulation in treating disorders like epilepsy, anxiety, and depression as well as enhancing emotional identification and stress resilience have been investigated[28].

A system of communication that occurs in both directions between the gut and the brain, the gut-brain axis

involves neurological, immunological, and endocrine processes. Signals are sent from the gut to the brain via the vagus nerve, which is its primary neurological connection[30]. Afferent fibres of the vagus nerve react to signalling from the stomach that is mechanical, chemical, and hormonal, including signals from bacteria and their byproducts, which are picked up by specialised cells known as neuropods. The vagus nerve is



**Fig 4: Vagus Nerve**

involved in emotions, health, and well-being; it is linked to empathy and relaxation when it is active, and to stress and negative health effects when it is not active. According to research on vagus nerve stimulation, vagus nerve function also affects the ability to recognise facial emotions[24].

**Roles of Vagus Nerve:** Through interaction with enteroendocrine cells (EECs), which release hormones including cholecystokinin and serotonin, the vagus nerve (VN) indirectly perceives signals from the gut. These hormones affect motility and secretion in the gut by acting on vagal afferent neurones. In order to send gut signals to the brain, EECs also establish connections with vagal neurones (via glutamate). EECs pick up signals from the gut microbiota (GM), including bacterial metabolites like short-chain fatty acids (SCFAs), and set off reactions that impact feeding behaviour and metabolism[23].

Furthermore, in order to transmit gut signals to the brain, the enteric nervous system (ENS), frequently referred to as the "second brain," interacts with the VN. Through mechanical and chemical inputs, the ENS activates the VN by regulating gut motility and immunological responses, which are regulated by the microbiota. By identifying microbial patterns and compounds such as SCFAs, the VN itself can establish a direct connection between brain activity and the microbial environment in the stomach[28].

These pathways, which include interactions between the immune system, ENS, VN, and EECs, aid in sending signals from the gut microbiota to the central nervous system, which in turn controls gut homeostasis and health[30].

**Mechanisms of communication from gut microbiota to brain:** As the microbial composition of the gut is so complex, the role of microbiota in gut-brain signalling remains unclear. However, metagenomics advancements are assisting in resolving this. Germ-free (GF) mice have been found to have different metabolic profiles, which may indicate that microbiome affects brain function. A complex network comprising the enteric nervous system (ENS), autonomic nervous system (ANS), neuroendocrine, and neuroimmune systems connects the gut and brain. The brainstem receives gut signals from afferent sensory neurones, and then uses them to activate higher brain areas including the limbic system and hypothalamus. This reciprocal exchange, which is mediated by humoral and cerebral pathways, affects gut and brain functioning[29, 28,19].

**Role of Gut-Brain Axis in Depression:** In mammals, the brain-gut axis is a communication channel that includes the immune system, the HPA axis, and nerves. This axis can be upset by illness and stress, which may result in depression. Studies have demonstrated a connection between gut health and mental health through both top-down (brain to gut) and bottom-up (gut to brain) impacts. An essential part of this axis, gut microbiota influences immunological responses, neurotransmitters, and the HPA axis, all of which have an impact on brain function. In addition to improving general brain-gut health, altering gut microbiota may aid in the treatment of mental illnesses[9].

Depression is greatly impacted by the gut-brain axis, which involves a web of interactions between the gut microbiota, immunological, endocrine, and neurological systems. Neurotransmitters that are essential for mood control, including serotonin and GABA, are produced by gut flora. Tryptophan hydroxylase is a crucial enzyme for the manufacture of serotonin, and disturbances in the gut microbiota can lower serotonin levels, which might affect mood[13]. Furthermore, the stomach affects the hypothalamic-pituitary-adrenal (HPA) axis, which regulates the body's reaction to stress. Cortisol levels are raised by chronic stress and disruption of the HPA axis, and this is frequently linked to depression. Because low-grade inflammation brought on by an imbalance in gut flora can exacerbate depressed symptoms, the immune system is also involved[16].

When inflammation activates the enzyme indoleamine 2,3-dioxygenase (IDO), tryptophan is diverted

from the synthesis of serotonin to kynurenine, which can further reduce serotonin availability and exacerbate mood. Additionally, gut bacteria affect mood and stress management by interacting with hormones such as cortisol[15]. Additionally, gut dysbiosis may erode the blood-brain barrier (BBB), making it possible for pro-inflammatory chemicals to enter the brain and exacerbate depression. The hippocampal neurogenesis, which is essential for memory, emotional control, and mental well-being, may also be impeded by this intestinal imbalance. Therefore, keeping the gut healthy may help reduce depression by lowering inflammation, balancing neurotransmitters, and promoting brain function through the gut-brain axis[23].

**Role of Gut-Brain Axis in Condition other than Depression:** In addition to depression, the gut-brain axis affects pregnancy, irritable bowel syndrome (IBS), autism, and responses to mental medications. IBS is regarded as a main gut-brain illness that is frequently sensitive to probiotics. Research indicates that SSRIs can alleviate the symptoms of IBS. Additionally, studies have connected the gut-brain axis to the immunological and endocrine systems, which may have therapeutic implications for a number of illnesses, including obesity, multiple sclerosis, Parkinson's disease, and autism. For example, by influencing the central nervous system via the vagus nerve, intestinal toxins may be a contributing factor to Parkinson's disease. A less varied microbiome is linked to autism, which may have an impact on mental health. Research on the gut-brain connection may help develop treatments for people of all ages, as probiotics may have therapeutic promise, particularly for neonates with necrotizing enterocolitis[17,28,27,22].

**Future Perspectives:** Future research on the connection between depression and the gut-brain axis highlights the possibility for gut-healthy microbiome-based treatments to promote mental health. Researchers want to create focused therapies that help regulate mood and lessen depressed symptoms by identifying particular probiotic strains and prebiotics that improve good gut bacteria. Research into bacterial metabolites such as short-chain fatty acids (SCFAs), especially butyrate, has the potential to identify pathways that have a direct impact on emotional regulation and brain function. Targeting the microbiome may also lessen brain inflammation, which has been connected to neurodegenerative illnesses and depression. This is because dysbiosis can result in neuroinflammation[23,5,].

With the development of precision medicine, nutritional and probiotic regimens could be customized for each patient according to their unique microbiome profiles and gut-brain indicators. Monitoring gut health may help identify

at-risk individuals and promote mental resilience from an early age, allowing for early detection and preventive measures. Research on the gut-brain axis could result in more potent, microbiome-based treatments for depression and other mental health issues through these channels.

## II. CONCLUSION

The gut-brain axis is implicated in depression, emphasizing the significance of gut health in mental health care. Depression symptoms are influenced by changes in the gut microbiota, which implies that addressing the gut-brain axis could provide novel treatment approaches. Dietary changes and psychobiotics have shown promise, and including gut health evaluations into depression treatment may enhance results. Understanding the causal relationships between depression and alterations in the microbiome, identifying particular microbial strains, and creating non-invasive biomarkers should be the main goals of future study. This might result in new treatments, which would change the way depression is treated and prevented. However, further research is required to examine individual variances and develop uniform methods.

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